

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 9/30/2015

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant

(b) Registration No.

Sidley Austin LLP

3731

(c) Business Address(es) of Registrant

One South Dearborn Street, Chicago, IL 60603; 1501 K Street, NW, Washington, DC 20005; 787 Seventh Avenue, NY, NY 10019; 717 N. Harwood, Dallas, TX 75201; 1000 Louisiana Street, Suite 600, Houston, TX 77002; 555 West 5th Street; Los Angeles, CA 90013; 555 California Street, San Francisco, CA 94104; 1801 Page Mill Road; Palo Alto, CA 94304; 60 State Street, 34th Floor, Boston, MA 02109

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- |                           |                              |                             |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship           | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation            | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

(b) If an organization:

- |                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
SEE ATTACHMENT A		

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
SEE ATTACHMENT B				

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒  
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes ☒ No ☐  
If yes, furnish the following information:

Name and Address of Foreign Principal(s)  
JTC VSB Bank

Date Acquired  
6/18/2015

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.  
Government of Israel  
Hong Kong Trade Development Council  
Government of the Cayman Islands

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes ☒ No ☐

Exhibit B<sup>4</sup> Yes ☒ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Government of Israel: The provision of legal advice in legal proceedings in the U.S. and related matters.

Hong Kong Trade Development Council: The registrant provides legal advice and related services on trade-related matters.

Government of the Cayman Islands: The registrant provides legal advice on U.S. laws and regulations and has occasional contact with U.S. government officials on behalf of the Cayman Islands government.

JTC VSB Bank: The registrant provides legal advice on U.S. laws and regulations.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV - FINANCIAL INFORMATION

## 14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom	Purpose	Amount
SEE			
ATTACHMENT C			

Total

## (b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

## (c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
SEE ATTACHMENT D			

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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
5/25/15	\$500	Surovell for Delegate	
7/25/15	\$100	John Bell for Delegate	
9/4/15	\$2,700	Hillary for President	
9/28/15	\$100	Storck for Supervisor	
9/30/15	\$250	Esty for Congress	

<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>  
 Yes ☐ No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?  
 Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts      ☐ Magazine or newspaper      ☐ Motion picture films      ☐ Letters or telegrams  
☐ Advertising campaigns      ☐ Press releases      ☐ Pamphlets or other publications      ☐ Lectures or speeches  
☐ Other (specify) \_\_\_\_\_

**Electronic Communications**

- ☐ Email  
☐ Website URL(s): \_\_\_\_\_  
☐ Social media websites URL(s): \_\_\_\_\_  
☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials      ☐ Newspapers      ☐ Libraries  
☐ Legislators      ☐ Editors      ☐ Educational institutions  
☐ Government agencies      ☐ Civic groups or associations      ☐ Nationality groups  
☐ Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

- ☐ English      ☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature <sup>13</sup>)

October 27, 2015

/s/ Joseph B. Tompkins, Jr.

eSigned

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

## ATTACHMENT A

**4(a). Persons Ceasing to be Partners of Registrant**

4/1/2015 to 9/30/2015

<b><u>Name</u></b>	<b><u>Position</u></b>	<b><u>Date</u></b>
Kelber, Tamar B.	Partner	6/30/2015
Rafkin, John M.	Partner	7/31/2015
Swan, Tim M.	Partner	8/21/2015
Woods, David E.	Partner	7/31/2015
Selsberg, Steven R.	Partner	4/28/2015
Capeci, Bart	Partner	6/30/2015
Wallace, Jonathan	Partner	9/4/2015
Went, David J.	Partner	6/30/2015
Duff, Michael O'Hara	Partner	8/21/2015
Fernand, Matthew E.	Partner	6/7/2015
Bastian, Justin L.	Partner	5/31/2015
Kaplan, Gary P.	Partner	6/30/2015
Min Liao, Hae-Won	Partner	8/27/2015
Crosby, Lisa A.	Partner	6/26/2015
Hemmersbaugh, Paul A.	Partner	6/21/2015
Lawson, David Lee	Partner	4/30/2015
Silvis, Lauren R.	Partner	5/1/2015

## ATTACHMENT B

**(4.b) Persons Becoming Partners Of Registrant**

4/1/2015 to 9/30/2015

<u>Name</u>	<u>Residence Address</u>	<u>Citizenship</u>	<u>Position</u>	<u>Date</u>
Hummel, Chad S.	11967 Kearsarge Street Los Angeles, CA 90049	USA	Partner	8/1/2015
Sekhon, Vijay S.	7249 Franklin Avenue #405 Los Angeles, CA 90046	USA	Partner	8/31/2015
Thompson, Matthew C.	11927 Ashdale Lane Studio City, CA 91604	USA	Partner	6/4/2015
McFaul, Duston K.	3454 Locke Lane Houston, TX 77027	USA	Partner	5/15/2015
Wall, Rupert	Rupert Wall (Wall, Géraldine) 19 Roland Gardens, Flat 4 London SW7 3PE United Kingdom	United Kingdom	Partner	8/3/2015
Clivner, Dan	9220 Robin Drive Los Angeles, CA 90069	USA	Partner	5/26/2015
Rothstein, Joel H.	3110 Deronda Drive Los Angeles, CA 90068	USA	Partner	6/23/2015
Chung, Nancy	PO Box 902, Alpine, NJ 07020	USA	Partner	4/16/2015
Dunn, Ellen M.	530 E. 23rd Street, Apt. 3E New York, NY 10010	USA	Partner	4/18/2015
Pan, Wenseng Wendy	27 John Beal Drive Garnet Valley, PA 19060	USA	Partner	4/9/2015
Friedman, Clayton S.	38 Vernon Newport Coast, CA 92671	USA	Partner	8/1/2015
Wellington, Martin A.	1203 N. Lemon Avenue Menlo Park, CA 94025	USA	Partner	7/7/2015
Bettinger, Mike	29 Belvedere Avenue Belvedere, CA 94920	USA	Partner	4/6/2015
Wilson, Charlie	Charlie Wilson (Wilson, Soraya M.) 16 EWE Boon Road #01-07 Palm Springs 259324 Singapore	United Kingdom	Partner	5/18/2015

## ATTACHMENT C

**(14.a) Receipts of Monies**

4/1/2015 to 9/30/2015

**Government of Israel**

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
04/30/2015	For professional services rendered in connection with the provision of legal advice, legal proceedings in the U.S. and related matters	\$10,914.04
5/14/2015	For professional services rendered in connection with the provision of legal advice, legal proceedings in the U.S. and related matters	\$24,000.00
6/17/2015	For professional services rendered in connection with the provision of legal advice, legal proceedings in the U.S. and related matters	\$588.84
8/24/2015	For professional services rendered in connection with the provision of legal advice, legal proceedings in the U.S. and related matters	\$4,948.75
9/09/2015	For professional services rendered in connection with the provision of legal advice, legal proceedings in the U.S. and related matters	\$668.75
9/24/2015	For professional services rendered in connection with the provision of legal advice, legal proceedings in the U.S. and related matters	\$24,000.00

## ATTACHMENT C, continued

**Hong Kong Trade Development Council**

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
4/24/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$35,000.00
5/29/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$37,500.00
6/30/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$37,500.00
8/03/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$37,500.00
9/04/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$37,500.00
9/24/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$37,500.00

## ATTACHMENT C, continued

**Government of the Cayman Islands**

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
4/30/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$73,809.26
6/29/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$16,873.18
7/09/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$10,955.25
9/03/2015	For professional services rendered in connection with legal proceedings in the U.S. and related matters	\$62,781.52

**JTC VSB Bank**

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
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No payments made during this period

## ATTACHMENT D

**15(a). Disbursements**

4/1/2015 to 9/30/2015

<b><u>Foreign Principal</u></b>	<b><u>Purpose</u></b>	<b><u>Amount</u></b>
The Government of Israel	Postage, telephone, telex, messenger service, photocopying and document processing.	\$638.01
Hong Kong Trade Development Council	Postage, telephone, telex, messenger service, photocopying and document processing.	\$800.39
Government of the Cayman Islands	Postage, telephone, telex, messenger service, photocopying and document processing.	\$695.13
JTC VSB Bank	Postage, telephone, telex, messenger service, photocopying and document processing.	\$3.30